

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/092,469	03/08/2002	Jeffrey Zettle	J-3351 (00050.094200)

28165  
S.C. JOHNSON & SON, INC.  
1525 HOWE STREET  
RACINE, WI 53403-2236

CONFIRMATION NO. 1192

## FORMALITIES LETTER



\*OC000000007843057\*

Date Mailed: 04/10/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

*Sadie Plush*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

08/19/2002 MBERHE 00000094 100849 10092469

01 FC:105 130.00 CH

COPY OF PAPERS  
ORIGINALLY FILED





J-3351  
USPTO Serial No. 10/092,469  
PATENTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Jeffrey J. Zettle, *et al.*  
Serial No: 10/092,469  
Filed: March 8, 2002  
Title: CONTAINER LID WITH SELECTABLE OPENING AND VALVE  
ASSEMBLY FOR RETAINING A VALVE  
Group Art Unit: 3727

COPY OF PAPERS  
ORIGINALLY FILED

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents; Washington, D.C. 20231, on July 23, 2002

S.C. JOHNSON & SON, INC.

By: Suzan E. Rhode  
Suzan E. Rhode  
Date: July 23, 2002

S.C. Johnson & Son, Inc.  
1525 Howe Street, MS 0077  
Racine, WI 53403-2236

Customer No. 28165

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Dear Sir:

In response to the Notice to File Missing Parts of Application dated April 10, 2002, enclosed is a fully executed original Combined Declaration and Power of Attorney for Patent Application filed March 8, 2002 by the applicants, under U.S. Serial No. 10/092,469.

Please charge our Deposit Account No. 10-0849 in the amount of \$130.00 to cover the surcharge required to file this document. Please also charge our deposit account for the \$400 two-month extension of time fee and any additional fees or overpayments.

A copy of the Notice dated April 10, 2002 also accompanies this communication.

Respectfully submitted,

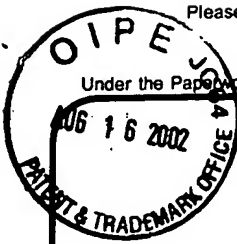
Dated: 7.22.02

Kristin L. Chapman  
Kristin L. Chapman  
Registration No. 38,102  
262-260-2722



ms

MP#



Please type a plus sign (+) inside this box → ☒

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031  
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Application Number 10/092,469

Filing Date March 8, 2002

First Named Inventor Jeffrey Zettle

Group Art Unit 3727

Examiner Name C. Kim

Attorney Docket Number J-3351

Total Number of Pages in This Submission

## ENCLOSURES (check all that apply)

☒ Fee Transmittal Form

☐ Fee Attached

☐ Amendment / Reply

☐ After Final

☐ Affidavits/declaration(s)

☒ Extension of Time Request

☐ Express Abandonment Request

☐ Information Disclosure Statement

☐ Certified Copy of Priority Document(s)

☒ Response to Missing Parts/Incomplete Application

☒ Response to Missing Parts under 37 CFR 1.52 or 1.53

☐ Assignment Papers (for an Application)

☐ Drawing(s)

☐ Licensing-related Papers

☐ Petition

☐ Petition to Convert to a Provisional Application

☐ Power of Attorney, Revocation, Change of Correspondence Address

☐ Terminal Disclaimer

☐ Request for Refund

☐ CD, Number of CD(s) \_\_\_\_\_

☐ After Allowance Communication to Group

☐ Appeal Communication to Board of Appeals and Interferences

☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)

☐ Proprietary Information

☐ Status Letter

☒ Other Enclosure(s) (please identify below):

Request For Corrected Filing

Receipt/Copy of Corrected Filing

Receipt.

Remarks

IF THERE ARE ANY CHARGES, PLEASE CHARGE TO OUR DEPOSIT ACCOUNT 10-0849:

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

Kristin L. Chapman

Signature

*Kristin L. Chapman*

Date

22 July 2002

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: July 23, 2002

Typed or printed name

Suzan E. Rhode

Signature

*Suzan E. Rhode*

Date

July 23, 2002

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.





# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)  
455.00

## Complete if Known

Application Number	10/092,469
Filing Date	March 8, 2002
First Named Inventor	Jeffrey J. Zettle et al.
Examiner Name	
Group Art Unit	3727
Attorney Docket No.	J-3351

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ORIGINAL FILED

## METHOD OF PAYMENT

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 10-0849  
Deposit Account Name: S.C. JOHNSON & SON, INC.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17☐ Applicant claims small entity status. See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

## 2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
Independent	-20** =	X	
Multiple Dependent	-3** =	X	

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	400.00
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	
Other fee (specify) Corrected Filing Receipt			25.00

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)  
455.00

## SUBMITTED BY

Name (Print/Type)	Kristin L. Chapman	Registration No. (Attorney/Agent)	38,102	Telephone	262-260-2722
Signature	<i>Kristin L. Chapman</i>	Date	July 18, 2002		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Practitioner's Docket No. J-3351**PATENT****IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

Application No.: 10/092,469Group No.: 3727Filed: March 8, 2002

Examiner:

For: Container Lid With Selectable Opening and Valve Assembly For Retaining A Valve

Assistant Commissioner for Patents

Washington, D.C. 20231

COPY OF PAPERS  
ORIGINALLY FILED**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.

*NOTE: The PTO will not correct the filing receipt until the application is complete (in other words, the applicant files a response to the notice to file missing parts).*

2. There is an error with respect to the following data, which is:

☒ Incorrectly entered

and/or

☐ omitted.

**Error in**

1. ☒ Applicant's name
2. ☐ Applicant's address
3. ☐ Title
4. ☐ Filing Date
5. ☐ Application Number
6. ☐ Foreign/PCT Application Re:
7. ☐ Other

**Correct data**

1. Jeffrey J. Zettle
2. Craig R. Gosen
- 3.
- 4.
- 5.
- 6.
- 7.

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8a)**

I hereby certify that this correspondence is, on the date shown below, being:

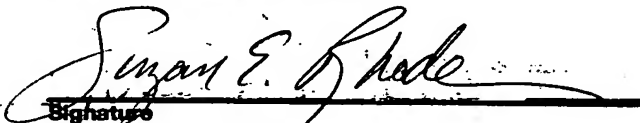
**MAILING**

☒ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

**FACSIMILE**

☐ transmitted by facsimile to the Patent and Trademark Office.

Date: July 23, 2002



Signature

Suzan E. Rhode

(type or print name of person certifying)

(Request for Corrected Filing Receipt [5-8]—page 1 of 2)



4. The error in the originally recorded cover sheet is apparent when that cover sheet is compared with the recorded document because:

The middle initials were omitted from the names:

Jeffrey J. Zettle  
Craig R. Gosen



COPY OF PAPERS  
ORIGINALLY FILED

5. The recording fee is shown in the corrected cover sheet filed herewith. Payment of this recording fee is in the manner set forth in the corrected cover sheet.

NOTE: "A fee is required for each application, patent and registration against which the document is recorded as identified in the cover sheet." 37 CFR 3.41

Reg. No.: 38,102

Tel. No.: ( 262 ) 260-2722

Customer No.: 28165

Kristin L. Chapman  
SIGNATURE OF PRACTITIONER

Kristin L. Chapman  
(type or print name of practitioner)  
S.C. Johnson & Son, Inc.  
1525 Howe Street  
P.O. Address

Racine, Wisconsin 53403-2236

(Correction of Recorded Cover Sheet Error(s) [16-8]—page 2 of 2)





## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/092,469	03/08/2002	3727	1676	J-3351 (00050.094200)	10	58	6

28165  
S.C. JOHNSON & SON, INC.  
1525 HOWE STREET  
RACINE, WI 53403-2236



CONFIRMATION NO. 1192

## FILING RECEIPT



\*OC000000007843056\*

Date Mailed: 04/10/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

## Applicant(s)

~~Jeffrey Zettle~~, Bay City, MI; Jeffrey J. Zettle  
Gregory Ball, Girard, PA;  
Anthony Weber, Racine, WI;  
~~Craig Gosen~~, Sanford, MI; Craig R. Gosen

COPY OF PAPERS  
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Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 04/10/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

## Title

Container lid with selectable opening and valve assembly for retaining a valve

Preliminary Class

220